



## 5. Second Law of Thermodynamics

**First law:**  $\oint \partial Q = \oint \partial W$  for a cyclic process

**Questions:** 1. Which direction?  
2. Can any cycle really happen?

**Second Law:** Processes proceed in a certain direction only

### 5.1 Heat Engines and Refrigerators

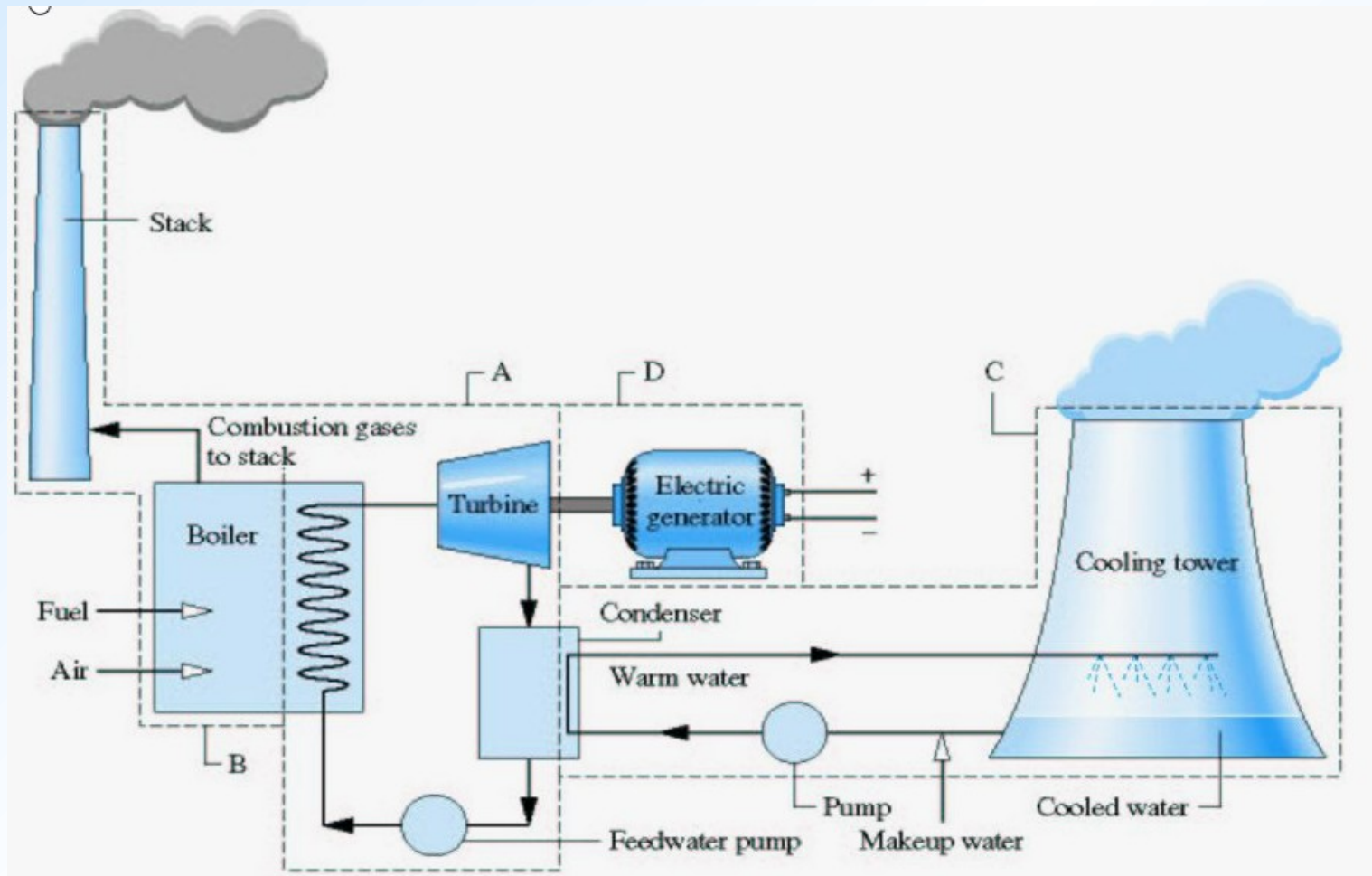
**Heat Engine:** A machine which reverses net heat (+) from the surroundings and does net work (+) on the surroundings (usually in a cyclic process)

**Working fluid:** The substance to or from which heat is transferred

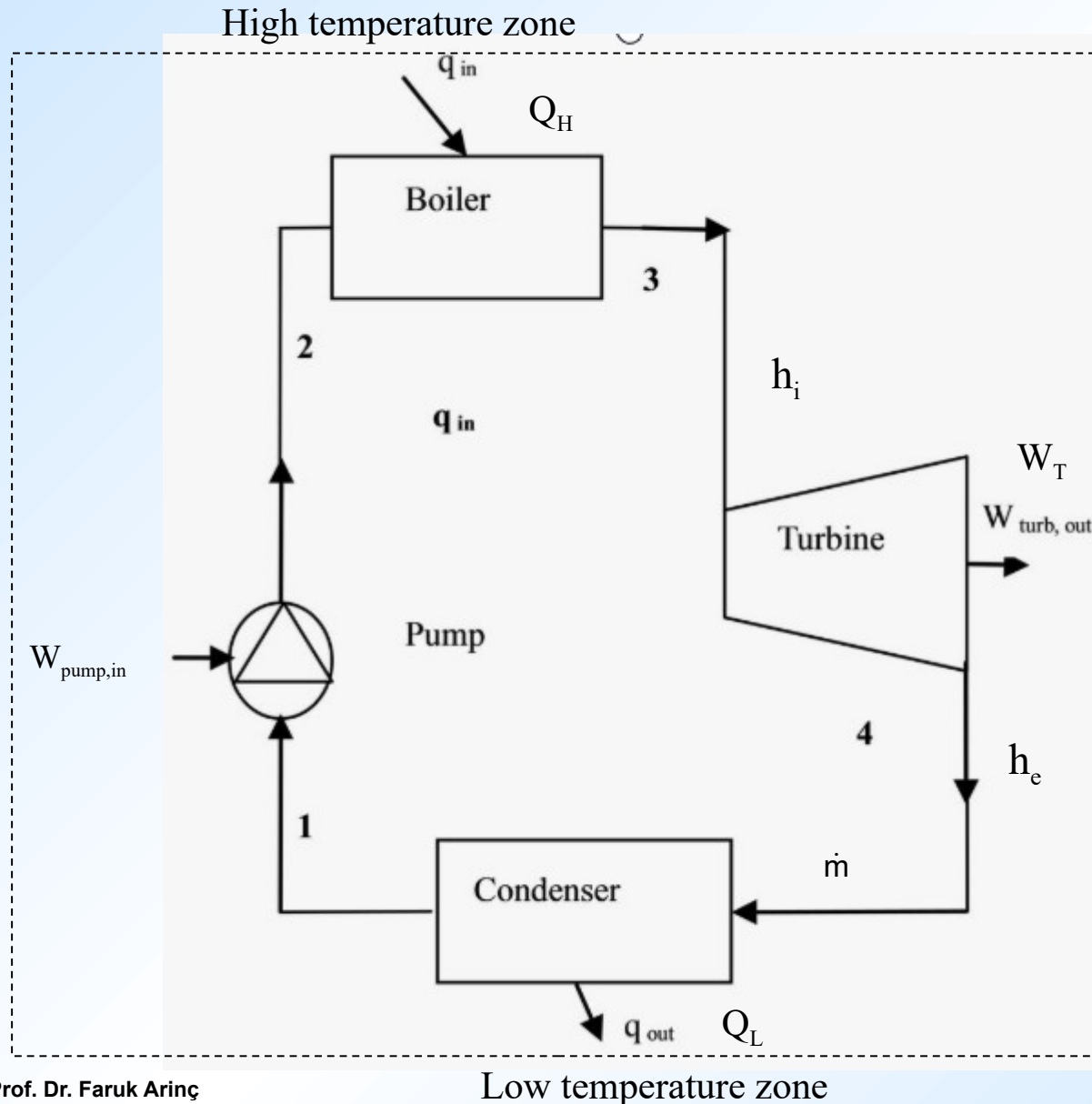
**Observation:** Heat always flows from a high temperature to a low temperature region



The most important heat engine operating on a cycle is the **steam power plant**.







System  
boundary

$\dot{Q}_H > \dot{Q}_L$  , so net heat is +

$$\dot{W}_T = m (h_i - h_e) \\ = \dot{Q}_H - \dot{Q}_L$$

Define efficiency:

$$\eta = \frac{\text{Useful output}}{\text{Input energy}} = \frac{\dot{W}_T}{\dot{Q}_H}$$

$$\eta = \frac{\dot{Q}_H - \dot{Q}_L}{\dot{Q}_L} = 1 - \frac{\dot{Q}_L}{\dot{Q}_H}$$

Question:  $Q_L = 0$

$$\eta = 1 \text{ or } 100 \%$$

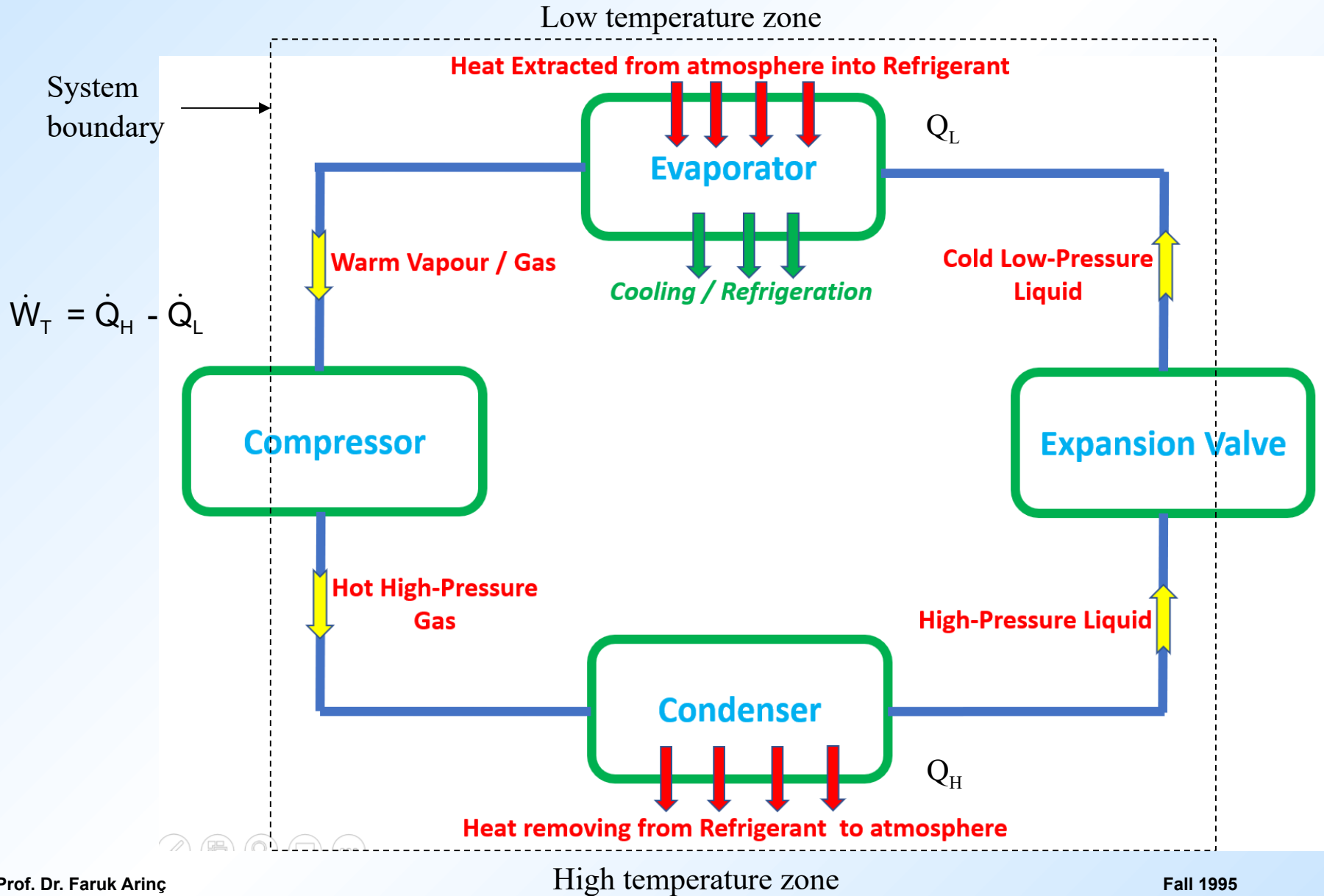
Is it possible?



**Refrigerator:** It is the same machine as the heat engine, except that it receives work (-) from the surroundings and transfers (pumps) heat from from a low temperature zone to a high temperature zone. The objective is to cool the low temperature zone.

**Heat Pump:** Same as the refrigerator. The objective is to heat the high temperature zone.

**Define:** Thermal Reservoir: It is a zone where heat can be transferred indefinitely without temperature change







**Define:** Coefficient of Performance (COP):  $\beta = \frac{\text{Useful output}}{\text{Input energy}}$

Refrigerator: 
$$\beta = \frac{\dot{Q}_L}{\dot{W}} = \frac{\dot{Q}_L}{\dot{Q}_H - \dot{Q}_L} = \frac{1}{\dot{Q}_H / \dot{Q}_L - 1}$$

Heat Pump: 
$$\beta = \frac{\dot{Q}_H}{\dot{W}} = \frac{\dot{Q}_H}{\dot{Q}_H - \dot{Q}_L} = \frac{1}{1 - \dot{Q}_L / \dot{Q}_H}$$

**Question:** Can we have  $\dot{Q}_H = \dot{Q}_L$  or  $\dot{W} = 0$  so that  $\beta = 0$  ?

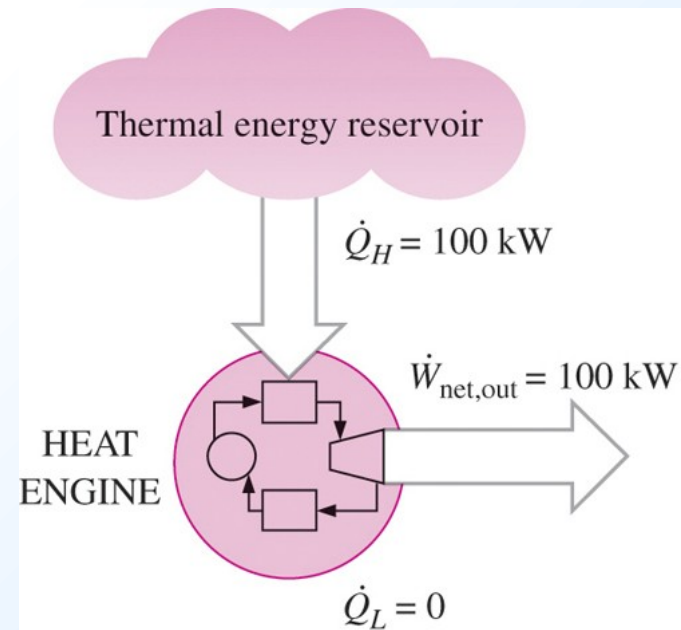


## 5.2 The Second Law

There are several ways in which the second law of thermodynamics can be stated. Listed below are three that are often encountered. Although the three may not appear to have much connection with each other, **they are equivalent**.

### Kelvin-Planck statement:

No process is possible whose **sole** result is the absorption of heat from a reservoir and the conversion of this heat into work.



This is not possible

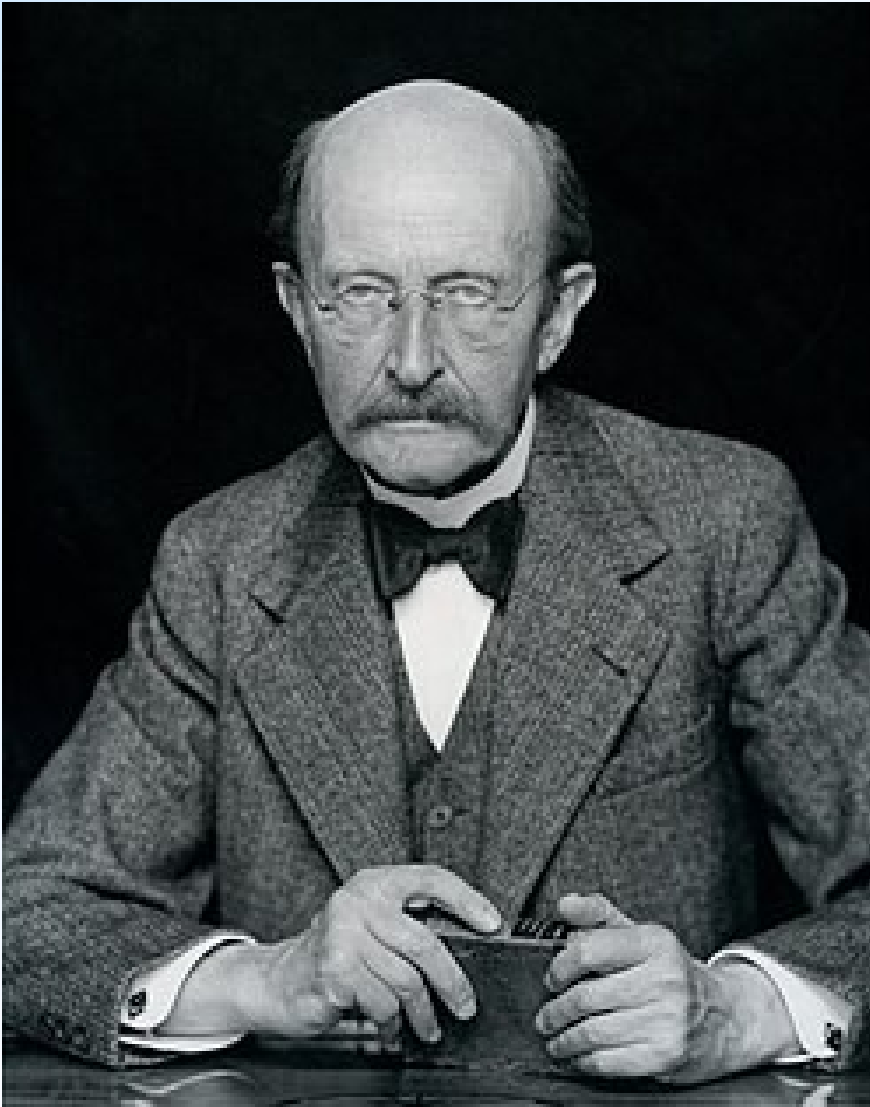




**William Thomson (Lord Kelvin)**

British Mathematician

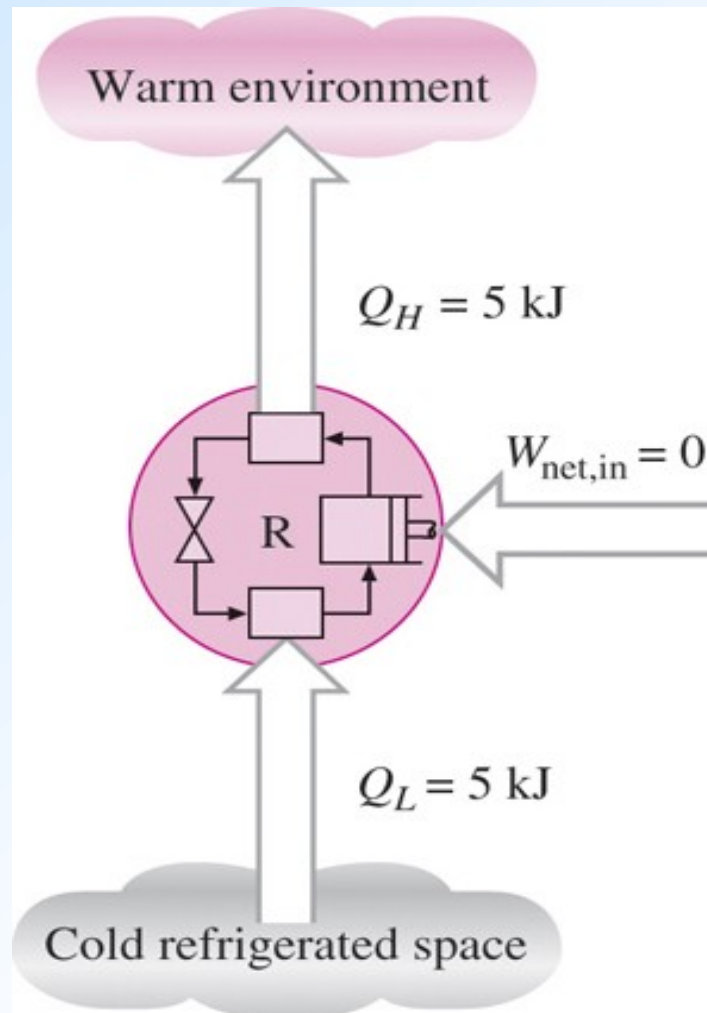
1824 - 1907



**Max Karl Ernst Ludwig Planck**

German Physicist

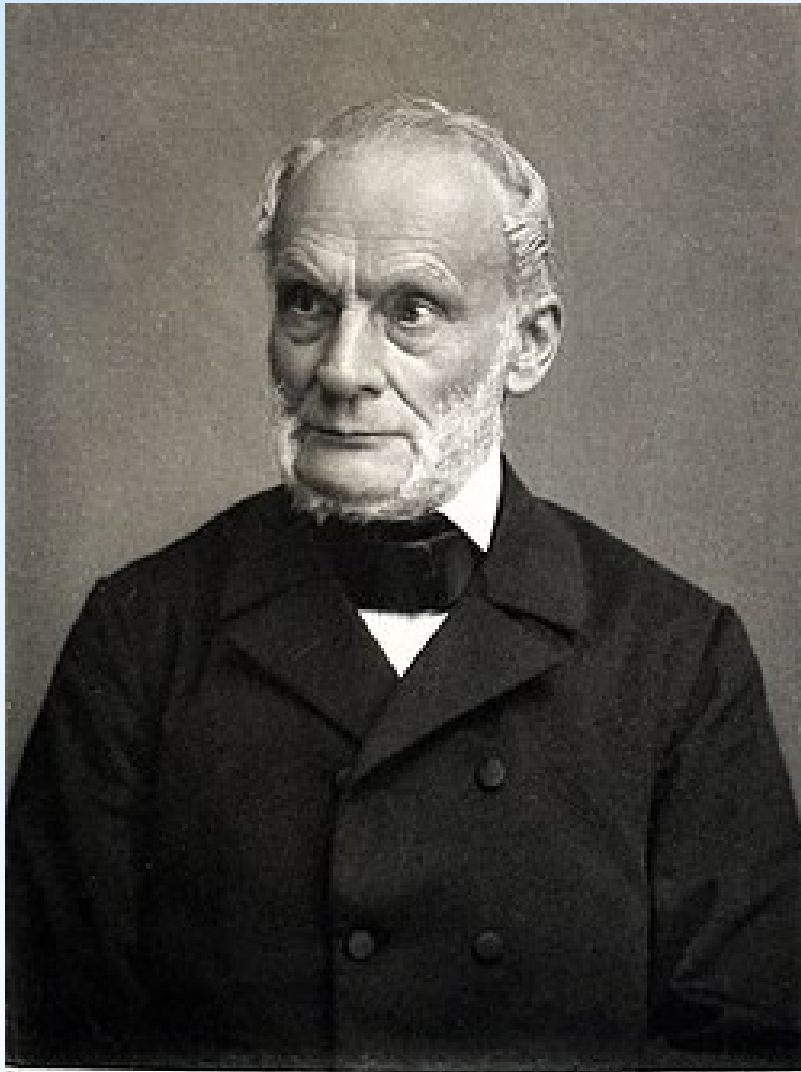
1858 - 1947



**Clausius statement:**

No process is possible whose **sole** result is the transfer of heat from a cooler to a hotter body.

$$T_1 > T_2$$



## Rudolf Julius Emanuel Clausius

German Physicist

1822 - 1888

Nach einer Photographie von Theo Schaffner, Bonn. Meissenbach & Co. Leipzig

*R. Clausius.*



These two statements answer our two previous questions.

**First law:**  $\oint \delta Q = \oint \delta W$  for a cyclic process

**Questions:** 1. Which direction?  
2. Can any cycle really happen?

**Second Law:** Processes proceed in a certain direction only

Three observations:

1. Both are negative statements. So, it is impossible to prove them except experimentally.
2. These two statements are equivalent
3. It is impossible to construct a perpetual motion machine of the second kind. (The first one violates the first law – create work from nothing)



### 5.3 Reversible Process

Definition: A reversible process for a system is defined as a process in which, once has taken place, can be reversed, and in doing so, leave no change in either the system or the surroundings.

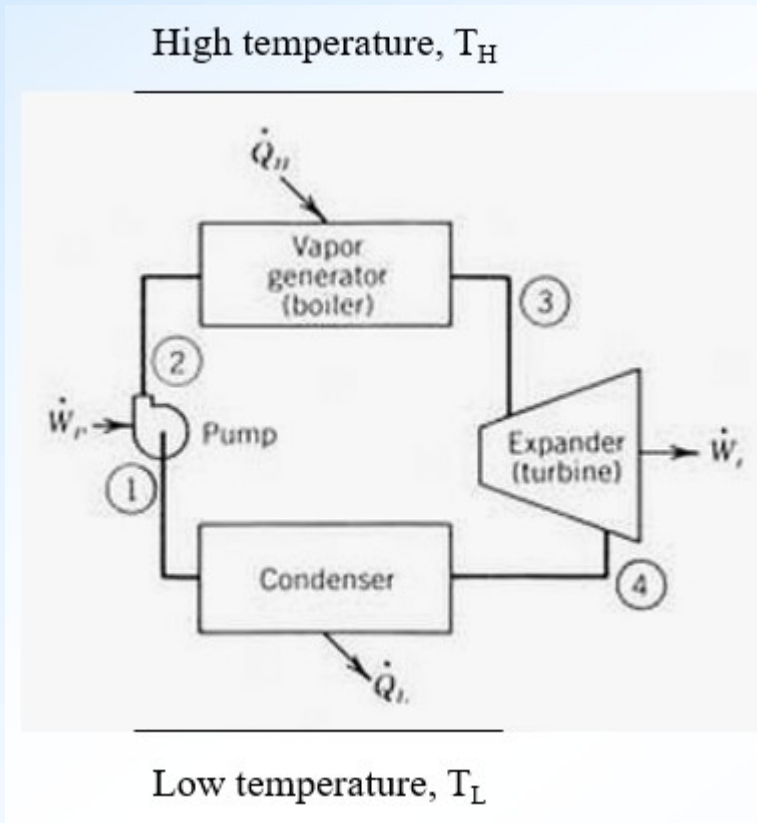
Note that quasi-equilibrium process is a reversible process.

Factors that render a process reversible:

1. Friction
2. Unstrained expansion (remove a membrane)
3. Heat transfer through a finite temperature difference
4. Mixing two different substances
5. Others



## 5.4 Carnot Cycle



**Question:** In a heat engine (Rankine cycle shown in the Figure), how high the efficiency  $\eta$  can be if not 100 % (or  $\beta$ , coefficient of performance, for a refrigeration cycle)?

Sadi Carnot (a French scientist and an army officer) stated the 2nd law in 1824.

Heat always flows spontaneously from hotter to colder regions of matter (or 'downhill' in terms of the temperature gradient). Another statement is: "Not all heat can be converted into work in a cyclic process."

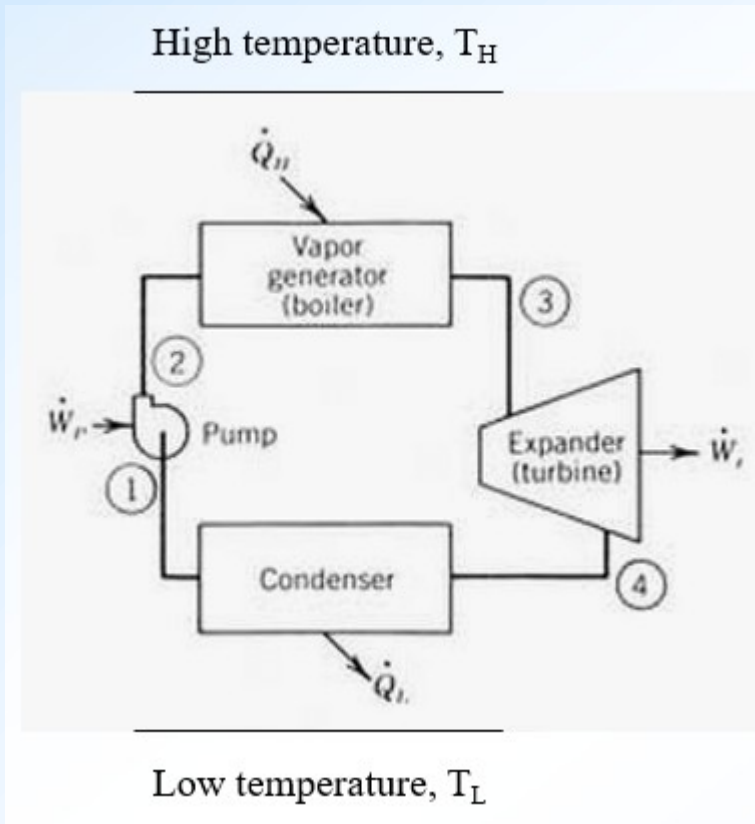




**Nicolas Léonard Sadi Carnot**

French Physicist

1796 - 1832



All the processes shown in the Figure (heat engine) are assumed to be reversible.

Boiler: Fluid boils or evaporates

Isothermal (constant T) process

Turbine: Adiabatic Process ;  $Q = 0$

Condensor : Isothermal (constant T) process

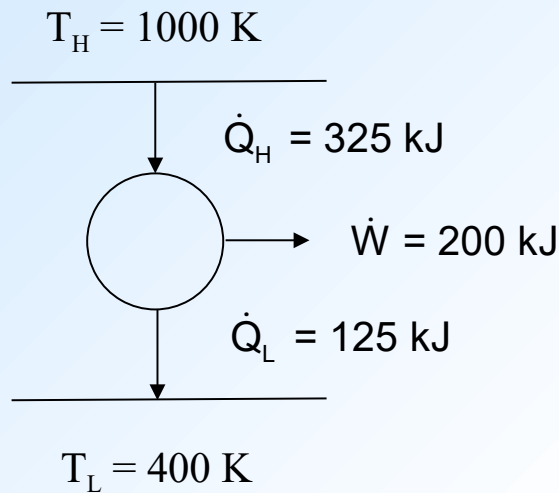
Pump: Adiabatic Process ;  $Q = 0$

$$\text{Then: } \eta_c = 1 - \frac{Q_L}{Q_H} = 1 - \frac{T_L}{T_H}$$

This (Carnot efficiency) is the maximum possible efficiency of a heat engine under ideal (reversible) conditions



## Example



$$\dot{W} = \dot{Q}_H - \dot{Q}_L = 325 - 125 = 200 \text{ kJ}$$

First law says check

$$\eta_C = 1 - \frac{T_L}{T_H} = 1 - \frac{400}{1000} = 0.75$$

$$\dot{W}_{\max} = \eta_C \dot{Q}_H = (0.75) (325) = 195 \text{ kJ}$$

$W = 200 \text{ kJ}$  is impossible

Second law says impossible



## 5.5 Entropy

Rudolph Clasius was a German physicist and mathematician and is considered one of the central founding fathers of the science of thermodynamics. By his restatement of Sadi Carnot's principle known as the Carnot cycle, he gave the theory of heat a truer and sounder basis.

His most important paper, "On the Moving Force of Heat", published in 1850, first stated the basic ideas of the second law of thermodynamics

In 1865, he introduced the concept of entropy.

He defined it as the quotient of an infinitesimal amount of heat to the instantaneous temperature. He initially described it as *transformation-content*, in German *Verwandlungsinhalt*, and later coined the term *entropy* from a Greek word for *transformation*..



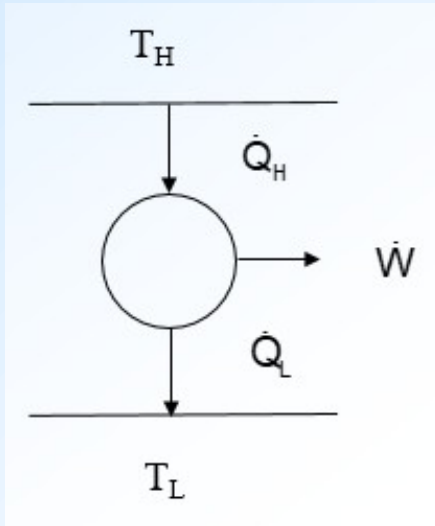
**Entropy** is a scientific concept, most commonly associated with states of disorder, randomness, or uncertainty.

The term and the concept are used in diverse fields, from classical thermodynamics, where it was first recognized, to the microscopic description of nature in statistical physics, and to the principles of information theory.

It has found far-ranging applications in chemistry and physics, in biological systems and their relation to life, in cosmology, economics, sociology, weather science, climate change and information systems including the transmission of information in telecommunication.



Clausius inequality:  $\oint \frac{\delta Q}{T} \leq 0$  For the proof, consider a Carnot cycle:



$$\dot{W}_{\max} = \dot{Q}_H - \dot{Q}_L, \quad \dot{Q}_L \neq 0$$

$$\left. \begin{aligned} \oint \delta W &= Q_H - Q_L \\ \oint \delta Q &= \oint \delta W \quad \text{First Law} \end{aligned} \right\} \quad \begin{aligned} \oint \delta Q &= Q_H - Q_L \\ \dot{Q}_H - \dot{Q}_L &> 0 \end{aligned}$$

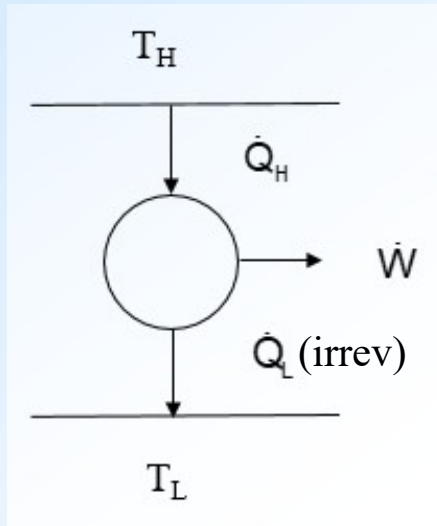
$$\text{Therefore: } \oint \delta Q > 0$$

$$\text{Also } \oint \frac{\delta Q}{T} = \frac{Q_H}{T_H} - \frac{Q_L}{T_L} = 0 \quad \text{since } \frac{Q_L}{Q_H} = \frac{T_L}{T_H} \quad \text{for a Carnot cycle}$$

$$\text{Therefore: } \oint \frac{\delta Q}{T} = 0 \quad \text{for a reversible (Carnot) cycle}$$



Consider an irreversible (real) cycle:



$$Q_{L, \text{irrev}} > Q_{L, \text{rev}} \quad \text{since} \quad \eta_{\text{irrev}} < \eta_{\text{rev}}$$

$$\oint \frac{\delta Q}{T} = \frac{Q_H}{T_H} - \frac{Q_L}{T_L} < 0 \quad \text{for an irreversible cycle}$$

$$\oint \frac{\delta Q}{T} < 0 \quad \text{for an irreversible cycle}$$

One can also prove that the same is true for refrigeration cycles.

The quantity  $\oint \frac{\delta Q}{T}$  is given the special name of «entropy»

$\oint \frac{\delta Q}{T} = dS$  only if the process is reversible, independent of the path





Clasius inequality:  $\oint \frac{\delta Q}{T} \leq 0$

Reversible cycle:  $\oint \frac{\delta Q}{T} = 0$

Irreversible cycle:  $\oint \frac{\delta Q}{T} < 0$

Impossible cycle:  $\oint \frac{\delta Q}{T} > 0$

Reversible process:  $\frac{\delta Q}{T} = dS$

$$\int_1^2 \frac{\delta Q}{T} = S_2 - S_1$$

Irreversible process:  $\frac{\delta Q}{T} \neq dS$

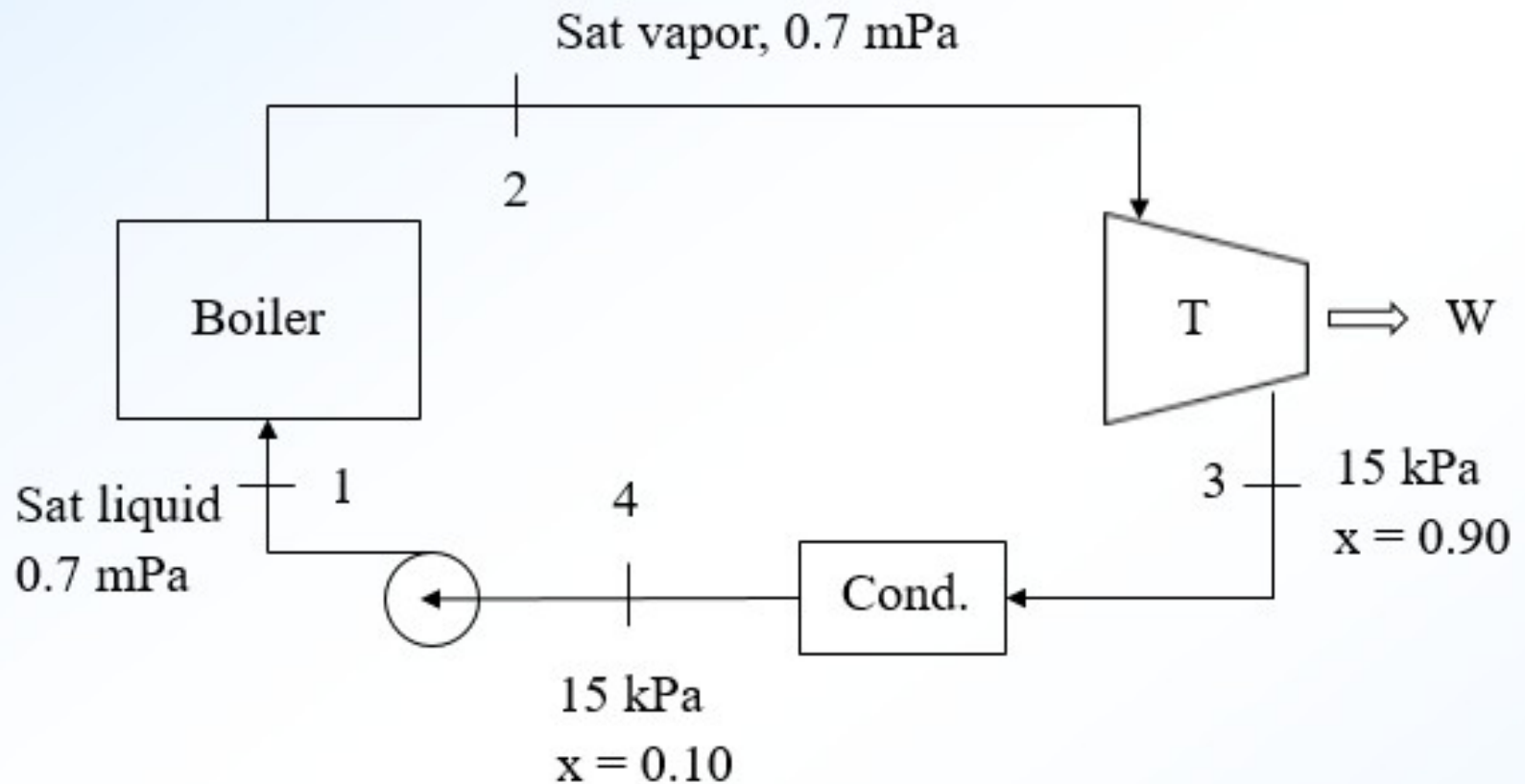
$$\int_1^2 \frac{\delta Q}{T} \neq S_2 - S_1$$

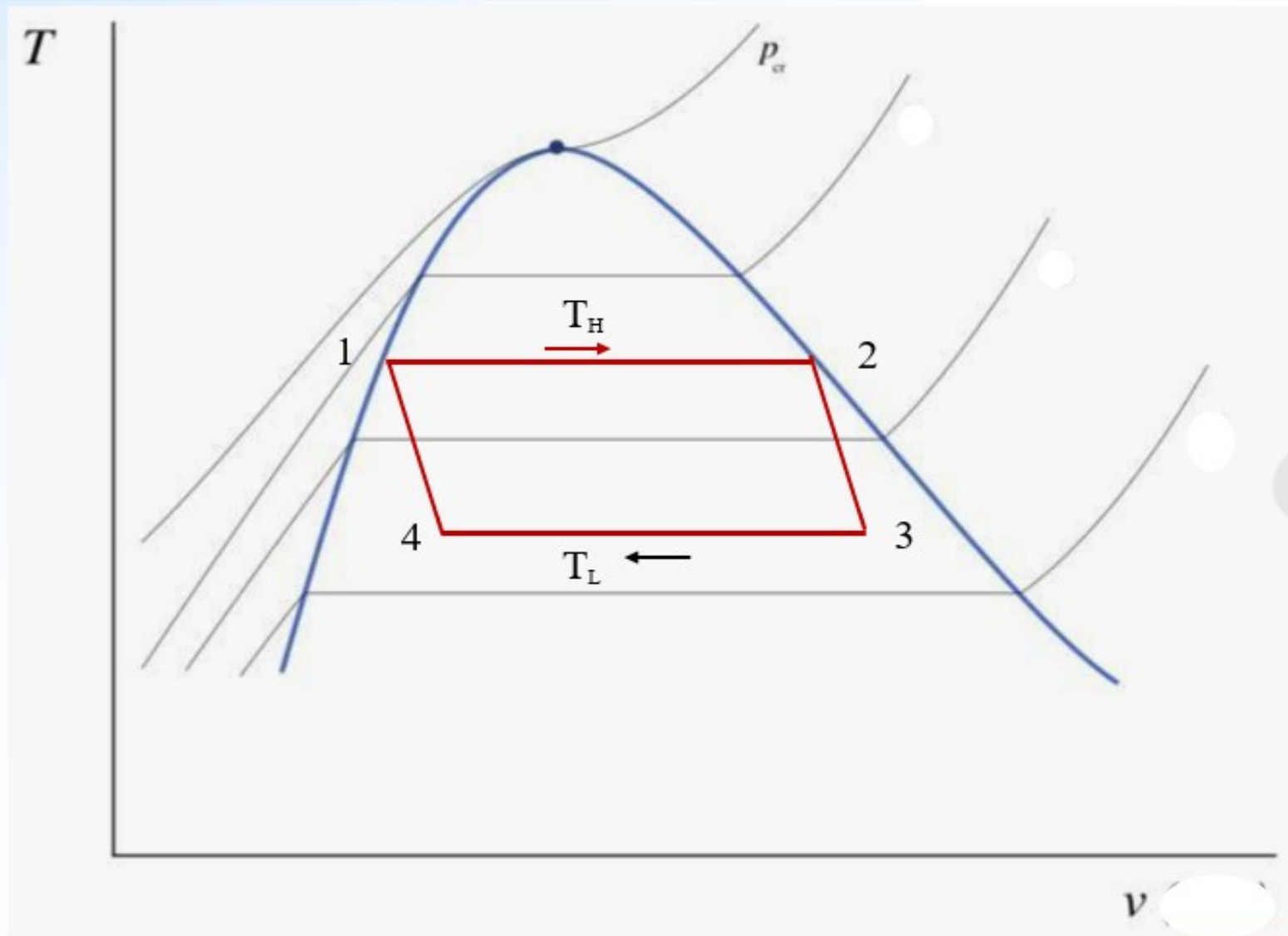
Entropy (S, in kJ/K) is a measure of orderliness in the system.

It is a property of a system independent of the path of a process.



## Example







$$\oint \frac{\delta Q}{T} = \int \left( \frac{\delta Q}{T} \right)_{\text{Boiler}} + \int \left( \frac{\delta Q}{T} \right)_{\text{Cond.}} = \frac{1}{T_H} \int_1^2 \delta Q + \frac{1}{T_L} \int_3^4 \delta Q = \frac{{}_1Q_2}{T_H} + \frac{{}_3Q_4}{T_L}$$

$${}_1Q_2 = m (h_2 - h_1) \quad \text{and} \quad {}_3Q_4 = m (h_4 - h_3)$$

Find the enthalpies at states 1, 2, 3, and 4 using the steam table.

$$\begin{array}{l} \text{At } P_1 = P_2 = 0.7 \text{ MPa} \\ \text{Saturated vapor} \end{array} \left\{ \begin{array}{l} T_2 = T_H = 164.97 \text{ }^\circ\text{C} \\ h_2 - h_1 = 2066.3 \text{ kJ/kg} \end{array} \right\} {}_1Q_2 = 2066.3 \text{ m kJ}$$
  
$$\begin{array}{l} \text{At } P_3 = P_4 = 15 \text{ kPa} \\ \text{Saturated liquid} \end{array} \left\{ \begin{array}{l} T_4 = T_L = 53.97 \text{ }^\circ\text{C} \\ h_4 - h_3 = -1898.4 \text{ kJ/kg} \end{array} \right\} {}_3Q_4 = -1898.4 \text{ m kJ}$$



$$\oint \frac{\delta Q}{T} = \frac{{}_1Q_2}{T_H} + \frac{{}_3Q_4}{T_L} = \frac{2066.3 \text{ m}}{(164.97 + 273)} + \frac{-1898.4 \text{ m}}{(53.97 + 273)} = -1.087 \text{ m}$$

$\oint \frac{\delta Q}{T} \neq 0$  indicates that it is not a Carnot cycle.

$\oint \frac{\delta Q}{T} < 0$  indicates that the second law is obeyed.

This example shows that for a cyclic irreversible (real) process  $\oint \frac{\delta Q}{T} < 0$

For an irreversible (real) process  $\frac{\delta Q}{T} < dS$  Clausius inequality

In the previous example problem, either the process 2 to 3 or 3 to 4 or both are irreversible (due to friction, probably).



Entropy,  $S$ , in  $\text{kJ/K}$ , is an extensive property.

Specific entropy,  $s = S / m$ , in  $\text{kJ/kg.K}$ , is an intensive property.

In the two-phase region:

$$\left. \begin{aligned} s &= (1 - x) s_f + x s_g \\ s &= s_f + x s_{fg} \\ s &= s_g - (1 - x) s_{fg} \end{aligned} \right\} \text{Similar to } v, h, \text{ and } u$$

See the thermodynamic (steam) tables for specific entropy.



## Example

An inventor reports that he has a refrigeration compressor that receives saturated Freon-12 vapor at  $-15\text{ }^{\circ}\text{C}$  ( $s_g = 0.7046\text{ kJ/kg.K}$ ) and delivers the vapor at  $1\text{ MPa}$  and  $50\text{ }^{\circ}\text{C}$ . The compression process is adiabatic. Evaluate the report.

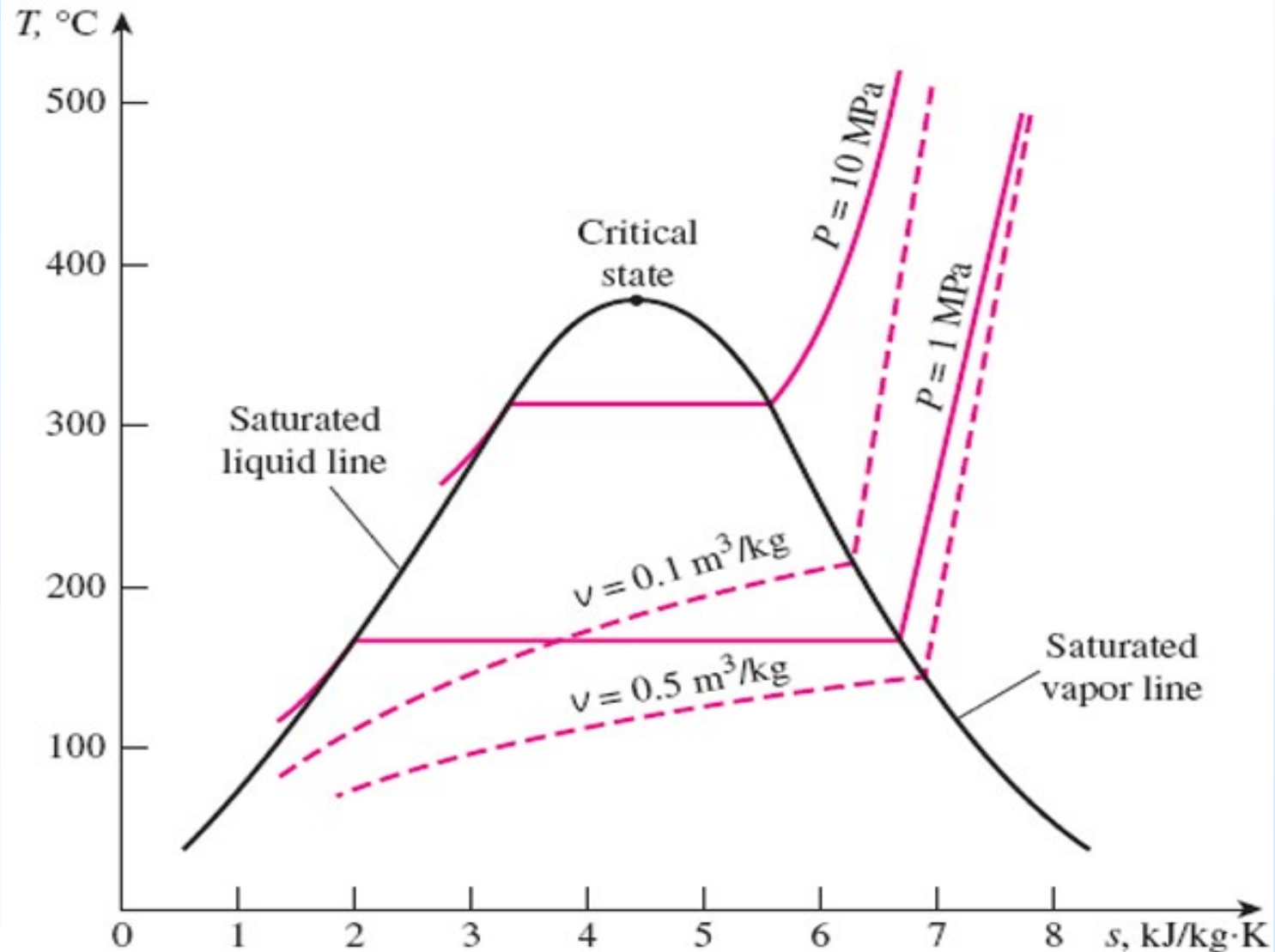
## Solution

Adiabatic means  $Q = 0$  Therefore,  $s_2 - s_1 = 0$  if the process is reversible (ideal)

$s_2 > s_1$  if the process is irreversible (real)

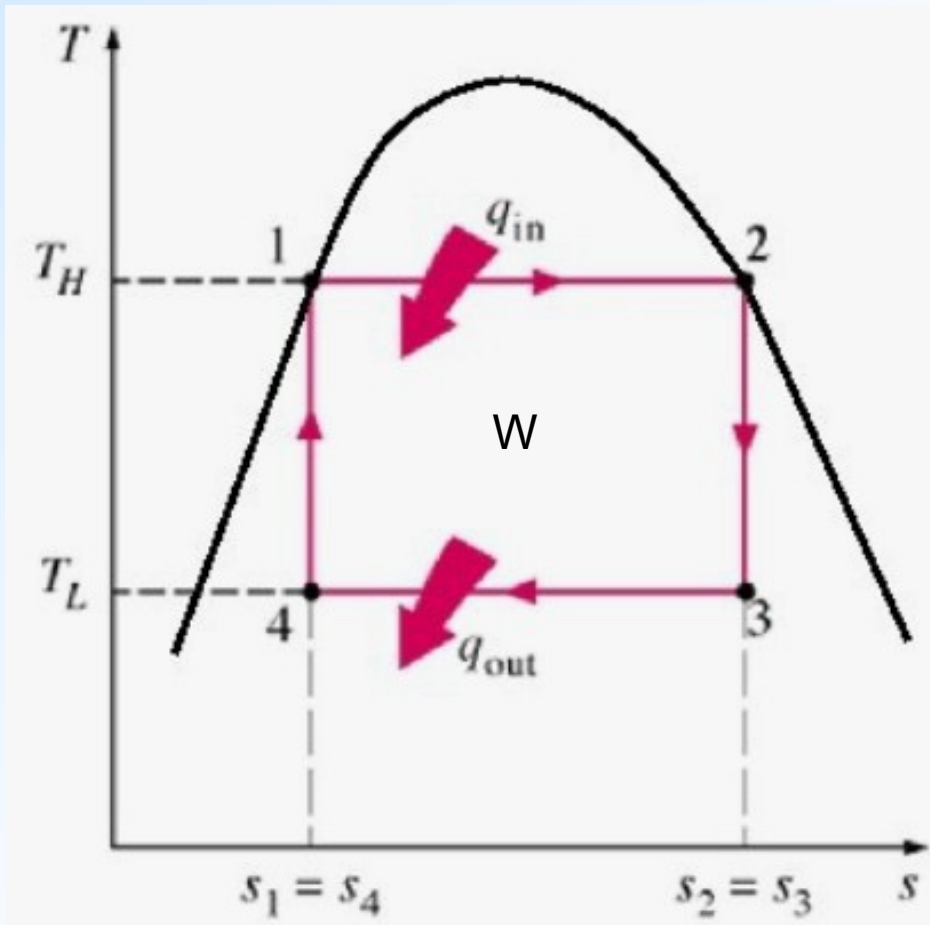
Properties of Freon-12: $s_1 = s_g = 0.7046\text{ kJ/kg.K}$	}	$s_2 < s_1$ Impossible
$s_2 = 0.7021\text{ kJ/kg.K}$		Reject the report







## Carnot cycle on a T-s diagram



1 – 2 Reversible, isothermal  
 ${}_1Q_2 = Q_H = T_H (S_2 - S_1)$

3 – 4 Reversible, isothermal  
 ${}_3Q_4 = Q_L = T_L (S_3 - S_4)$

2 – 3 Reversible, adiabatic  
 ${}_2Q_3 = 0$  ,  $\Delta S = 0$

4 – 1 Reversible, adiabatic  
 ${}_4Q_1 = 0$  ,  $\Delta S = 0$

$$W = W_{\max} = Q_H - Q_L \\ = T_H (S_2 - S_1) + T_L (S_4 - S_3)$$

Corollary: Reversible, adiabatic processes are isentropic.



There are two difficulties with this cycle. We'll come to that.

For a reversible process:  $\delta Q = T ds$  and  $\delta W = P dV$

First law:  $\delta Q = dU + \delta W$

First law for a reversible process:

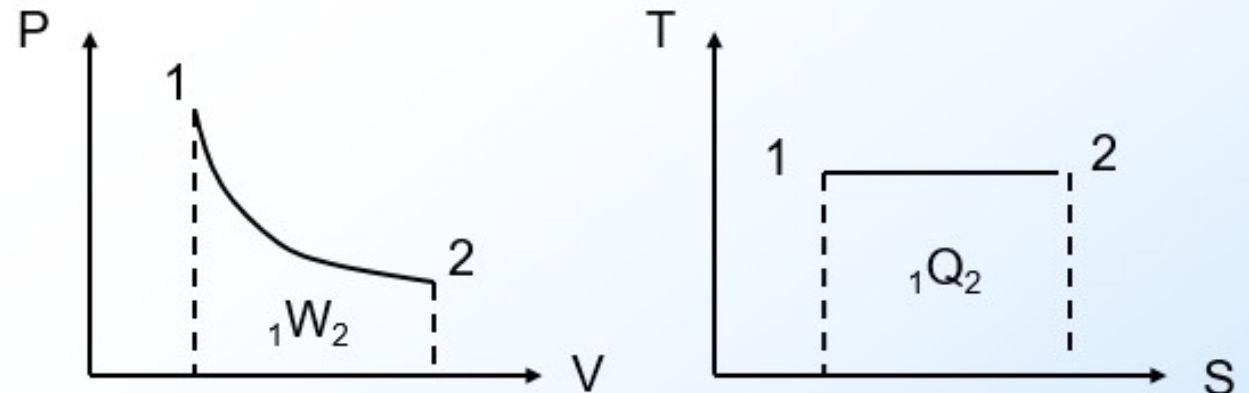
$$T ds = dU + P dV$$

$$H = U + P V$$

$$dH = dU + P dV + V dP$$

$$T ds = dH - V dP$$

For a reversible process:





## 5.6 Entropy Change for an Ideal Gas

Ideal gas law:  $P V = m R T$  or  $P v = R T$

Reversible process:  $\frac{\delta Q}{m} = T ds = du + P dv$

$$\frac{\delta Q/m}{T} = ds = \frac{du}{T} + \frac{P}{T} dv = \frac{du}{T} + \frac{R}{v} dv$$

$\left. \begin{array}{l} du = c_v dT \\ dh = c_p dT \end{array} \right\}$  For the definitions of  $c_v$  and  $c_p$ , see the previous problem

$$ds = \frac{c_v}{T} dT + \frac{R}{v} dv = \frac{c_p}{T} dT - \frac{R}{P} dP \quad \text{Integrate}$$



$$\int_1^2 ds = \int_1^2 \frac{c_v}{T} dT + \int_1^2 \frac{R}{v} dv = \int_1^2 \frac{c_p}{T} dT - \int_1^2 \frac{R}{P} dP$$

$$s_2 - s_1 = \int_1^2 \frac{c_v}{T} dT + R \ln \left( \frac{v_2}{v_1} \right)$$

$$s_2 - s_1 = \int_1^2 \frac{c_p}{T} dT - R \ln \left( \frac{P_2}{P_1} \right)$$

When  $c_v$  and  $c_p$  are constants:

$$s_2 - s_1 = c_v \ln \left( \frac{T_2}{T_1} \right) + R \ln \left( \frac{v_2}{v_1} \right)$$

$$s_2 - s_1 = c_p \ln \left( \frac{T_2}{T_1} \right) - R \ln \left( \frac{P_2}{P_1} \right)$$

Compare these relations with those given in the textbook.

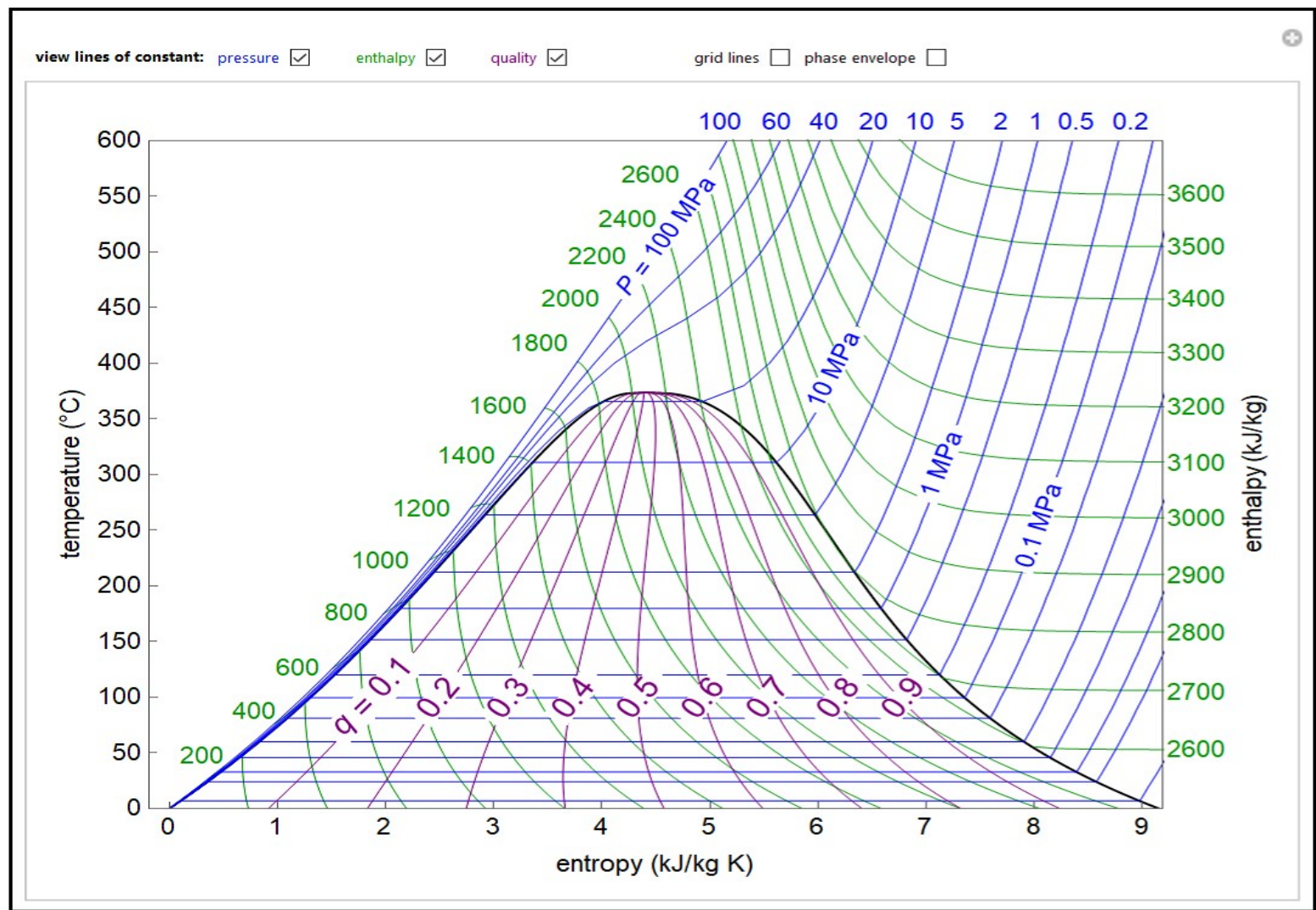


For an isothermal and reversible process ( $T_2 = T_1 = T$ ):

$${}_1W_2 = m R T \ln\left(\frac{v_2}{v_1}\right) = m R T \ln\left(\frac{P_2}{P_1}\right)$$

$${}_1Q_2 = \int_1^2 T \, ds = \int_1^2 dU + \int_1^2 P \, dV = P_1 v_1 \ln\left(\frac{v_2}{v_1}\right)$$

$${}_1Q_2 = m R T \ln\left(\frac{v_2}{v_1}\right)$$



T – s diagram for water





## Mollier-h, s Diagram

for Water Steam

